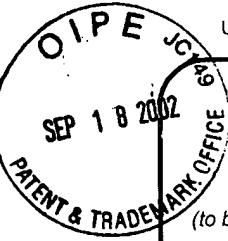


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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/430,644
Filing Date	October 29, 1999
First Named Inventor	Hurst
Group Art Unit	2613
Examiner Name	S. An

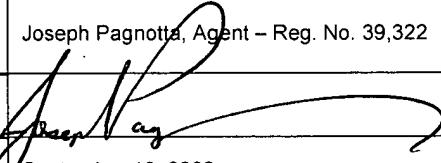
Attorney Docket Number

SAR 13543

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) RECEIVED DIRECTOR OFFICE TECHNOLOGY CENTER 2600 (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Petition under 37 C.F.R. 1.181(f); Exhibits A-F; Check in the amount of \$130.; Express Mail Certification.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	RECEIVED
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		SEP 27 2002

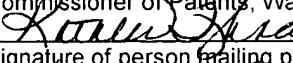
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Joseph Pagnotta, Agent – Reg. No. 39,322
Signature	
Date	September 18, 2002

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EV118187330US
 Date of deposit Sept. 18, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.


 Signature of person mailing paper or fee
Kathleen Faughnan
 Name of person mailing paper or fee

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SEP 20 2002

OFFICE OF PETITIONS

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.



PATENT & TRADEMARK OFFICE

AMOUNT OF PAYMENT (\$ 130)

Complete if Known	
Application Number	09/430,644
Filing Date	October 29, 1999
First Named Inventor	Hurst
Examiner Name	S. An
Group / Art Unit	2613
Attorney Docket No.	SAR 13543
Technology Center 2600	

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SEP 24 2002

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																																	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 20-0782				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Fee (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive – unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive – unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>130</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Processing fee under 37 CFR 1.17 (q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr> <td colspan="4">SUBTOTAL (1) (\$ 0)</td> <td colspan="4">Other fee (specify)</td> </tr> <tr> <td colspan="4"></td> <td colspan="4">*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 130)</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Fee (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	920	217	460	Extension for reply within third month		118	1,440	218	720	Extension for reply within fourth month		128	1,960	228	980	Extension for reply within fifth month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive – unavoidable		141	1,280	241	640	Petition to revive – unintentional		142	1,280	242	640	Utility issue fee (or reissue)		143	460	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner	130	123	50	123	50	Processing fee under 37 CFR 1.17 (q)		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application		SUBTOTAL (1) (\$ 0)				Other fee (specify)								*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 130)			
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**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	Joseph Pagnotta, Agent	Registration No. Attorney/Agent)	39,322	Telephone	(732) 530-9404		
Signature				Date	September 18, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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SEP 20 2002

OFFICE OF PETITIONS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **RECEIVED**

PATENT APPLICATION

SEP 27 2002

In re application of: **Hurst**

Docket No.: **SAR 1354** DIRECTOR OFFICE
TECHNOLOGY CENTER 2600

Serial No.: **09/430,644**

Art Unit: **2613**

Filing Date: **October 29, 1999**

Examiner: **S. An**

For: **FRAME-ACCURATE SEAMLESS SPLICING OF INFORMATION
STREAMS** **RECEIVED**

SEP 24 2002

THE ASSISTANT COMMISSIONER OF PATENTS
Washington, D. C. 20231

Technology Center 2600

PETITION UNDER 37 C.F.R. 1.181(f) TO WITHDRAW HOLDING OF
ABANDONMENT BASED ON FAILURE TO RECEIVE OFFICE ACTION

SIR:

This petition is filed in response to the Notice of Abandonment mailed on August 1, 2002 (Paper No. 9).

REMARKS

In the Notice of Abandonment mailed August 1, 2002 (Paper No. 9), the Examiner notes that the application has become abandoned in view of Applicant's failure to timely file a proper reply to the Office letter mailed on August 3, 2001. Applicant responds that even if the PTO did mail such an Office Letter, it was never received by the applicant's representative. Therefore, this Petition is presented to request that the Patent Office withdraw the abandonment and mail a new Office letter for the above-referenced application.

Pursuant to the criteria of the Court in *Delgar v. Schuyler*, 172 USPQ 513 (D.D.C. 1971), the applicant's representative contends that the August 3, 2001 Office letter for the above-referenced patent application was not received by applicant's representative or law firm, and that applicant's representative has personally searched the file jacket and those docket records pertinent to the time frame within which the August 3, 2001

09/20/2002 ABONDAF1 00000037 09430644

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SEP 20 2002

OFFICE OF PETITIONS

Office letter was alleged to have been mailed and should have been received. The Office letter of August 3, 2001 was not found, nor is there a docket entry to respond to such letter in the firm's docketing program.

To assist the PTO in evaluating this Petition, an Action Item report printout (Exhibit A) is provided for the above-referenced application. All actions related to this application are listed as well as the last date this application record was updated into the computer docketing system. Also enclosed as Exhibits B and C are the partial firm docket reports for October and November, 2001, respectively (i.e., due dates from October 1 - October 5 and November 1 - November 5 are shown). As the August 3, 2001 Office letter was allegedly a Final Office Action, the two-month date of October 3 and the three-month date of November 3 would have been docketed. No such entries exist in the Action Item report or the docket reports; accordingly, it is submitted that the August 3, 2001 Office letter was never received. As can be seen, the last Office letter of record was dated March 1, 2001 and such letter was responded to on May 31, 2001.

With respect to routing of documents, each Patent Office communication related to an application having a correspondence address of Thomason, Moser & Patterson, LLP (the previous name of the present firm, Moser, Patterson & Sheridan, LLP) (such as the instant patent application) is opened by a staff member charged with this duty (i.e., the Administrator of the Senior Partner). That staff member brings all Patent & Trademark Office Communications to the Docket Administrator. The Docket Administrator enters each received Communication into the docket system, retrieves the file associated with each received Communication and provides the retrieved file along with the Communication to the appropriate attorney. Since there is no entry within the docketing system of the August 3, 2001 Office letter, the applicant's representative firmly believes that such Office letter was never received.

The following facts are also presented by applicant's representative so as to positively assert the facts regarding the correspondence address in the above-identified application. On April 6, 2000, attorney for applicant, John V. Silverio, filed a Modification of Power of Attorney and Change of Correspondence Address with a change in the correspondence address to Thomason, Moser & Patterson, LLP, 2-40

Bridge Avenue, P.O. Box 8160, Red Bank, NJ 07701. Said communication was acknowledged by the PTO and correspondence in this application was indicated to have been mailed to such address as of the PTO Notice dated June 29, 2000.

The offices of applicant's representative moved from the Red Bank, NJ location to its current location of 595 Shrewsbury Avenue, Shrewsbury, NJ 07702 in July of 2000. Applicant's representative subsequently prepared and filed a change of address for customer number (customer number 28166) on June 11, 2001 to have the correspondence address associated with this customer number changed to the Shrewsbury, New Jersey address. A copy of that correspondence along with the Excel spreadsheet containing the serial number of the subject application is attached (Exhibit D). Also attached is a return postcard marked "Received" by the USPTO on June 19, 2001. In reply to this communication, applicant's representative received a correspondence from Keith Peterson of the USPTO Patent Electronic Business Center requesting additional information with regards to various applications enclosed in the original spreadsheet. This communication was dated July 29, 2001 (Exhibit E). Applicant replied to Mr. Peterson's July 29, 2001 letter in a July 30, 2001 facsimile correspondence clarifying the issues in question (Exhibit F).

Recent teleconferences with the Examiner in the above-identified application revealed that the change in correspondence address to this specific application was not entered in the PTO records until August 22, 2001 (approximately 19 days after the alleged mailing of the August 3, 2001 Official letter). Therefore, it is submitted that while applicant took all necessary steps to insure that the above-identified application contained the most accurate and up-to-date correspondence address information, such information was not timely processed by the USPTO before the mailing date of the Official letter in question. Accordingly, if such correspondence was indeed mailed from the USPTO, it would not have been received at the current location despite applicant's representatives best efforts to insure receipt of any such information.

While the applicant's representative does not necessarily dispute the Patent Office's mailing of such Office letter, the applicant's representative notes that such Office letter was not received. Moreover, the applicant's representative notes that he

cannot remember any lost correspondence from the PTO pertaining to a matter personally handled by the applicant's representative.

CONCLUSION

For the above-stated reasons, the applicant respectfully requests that the abandonment be withdrawn and that a new Office letter be issued. If, however, the Examiner believes that there are any unresolved issues, it is requested that the Examiner telephone Mr. Joseph Pagnotta at (732) 530-9404 so that appropriate arrangements can be made for resolving such issues as expeditiously as possible. A Petition fee of \$130.00 is hereby enclosed or otherwise authorized to be charged to representative's Deposit Account No. 20-0782. If additional fees are required, authorization is hereby given to charge any such fees.

Respectfully submitted,

September 18, 2002



Joseph Pagnotta, Agent
Reg. No. 39,322
(732) 530-9404

Moser, Patterson & Sheridan, LLP
595 Shrewsbury Avenue
Suite 100
Shrewsbury, NJ 07702

Petition under 37 C.F.R. 1.181(f)

Serial No. 09/430,644

Page 5

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EV 118187330 US
Date of deposit 9-18-02

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

Kathleen Faughnan
Signature of person mailing paper or fee

Kathleen Faughnan
Name of person mailing paper or fee

Exhibit A

PCMaster v3.03.01 - Moser, Patterson & Sheridan - [Patent]

File Edit Records Utilities Tools Help

Docket Number SAR/13543
Country United States
Case Type REGULAR CASE TYPE
Relation Type ORIGINAL OR PATENT CASE
Filing Type NATIONAL CASE
Filing Number

Attorney RAY MOSER, JR.
Agent
Client Division Sarnoff Corporation
Current Owner
Previous Owner

UNIVERSAL BITSTREAM-SPlicing ADAPTERS

Inventors	Licenses	Other No's	Related Id	Title	Text
Filing Data	Related Party Info	Actions	Description	Expenses	Ext Files
		Action Due Date	Taken Date	Deadline Date	Completed Date
► RESPONSE DUE	09/01/2002				
RESPONSE TO OFFICE ACTION		05/31/2001		06/04/2001	
PETITION TO REVIVE		08/20/2002			
NOTICE OF ABANDONMENT		08/01/2002			
NEWLY OPENED, UNFILED MATTER		07/27/1999			
IDS NOT APPLICABLE					
FILE BY DUE DATE		10/29/1999			
FOREIGN FILING DEADLINE					
POWER OF ATTY REQUIRED		06/29/2000			
INFORMATION DISCLOSURE					
OFFICE ACTION REC'D		03/01/2001			

Created: 03/09/2000 Updated: 08/23/2002 9:29 AM By: SRM
Start Sue McCormack - Inb... PCMaster v3.03.01 2:50 PM

PCMaster v3.03.01 - Moser, Patterson & Sheridan - [Patent]

Docket Number	SAR/13543	Attorney	RAY MOSER, JR.
Country	United States	Agent	
Case Type	REGULAR CASE TYPE	Client\Division	Sarnoff Corporation
Relation Type	ORIGINAL OR PATENT CASE	Current Owner	
Filing Type	NATIONAL CASE	Previous Owner	
Filing Number			

UNIVERSAL BITSTREAM SPLICING ADAPTERS

Inventors	Licenses	Other No's	Related Id	Title	Text
Filing Data	Related Party Info	Actions	Description	Expenses	Ext Files
		Action Notes		Responsible Atty	
		Respond to Notice of Abandonment			
	06/04/2001				
		Waiting for instructions from client			
		Final office action dated 8/3/01 was never received by MPS		
		Not needed per E. Wall			
		SAR to do			
		POA accepted by PTO			

Created: 03/09/2000 Updated: 08/23/2002 9:29 AM By: SRM

Start | Sue McCormack - Inb... | PCMaster v3.03.0... | 2:48 PM

PCMaster v3.03.01 - Moser, Patterson & Sheridan - [Patent]

File Edit Records Utilities Tools Help

Docket Number SAR/13543 Attorney RAY MOSER, JR.

Country United States Agent

Case Type REGULAR CASE TYPE Client\Division Sarnoff Corporation

Relation Type ORIGINAL OR PATENT CASE Current Owner

Filing Type NATIONAL CASE Previous Owner

Filing Number

UNIVERSAL BITSTREAM SPLICING ADAPTERS

Inventors	Licenses	Other No's	Related Id	Title	Text
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NEWLY OPENED, UNFILED MATTER			07/27/1999		
IDS NOT APPLICABLE					
FILE BY DUE DATE			10/29/1999		
FOREIGN FILING DEADLINE					
POWER OF ATTY REQUIRED			06/29/2000		
INFORMATION DISCLOSURE					
OFFICE ACTION REC'D			03/01/2001		
FILE BY DUE DATE			10/18/1999		
POWER OF ATTY REQUIRED			04/07/2000		04/10/2000
*					

Created: 03/09/2000 Updated: 08/23/2002 9:29 AM By: SRM

Start Sue McCormack - In... PCMaster v3.03... 2:51 PM

PCMaster v3.03.01 - Moser, Patterson & Sheridan - [Patent]

File Edit Records Utilities Tools Help

Docket Number SAR/13543
 Country United States
 Case-Type REGULAR CASE TYPE
 Relation Type ORIGINAL OR PATENT CASE
 Filing Type NATIONAL CASE
 Filing Number

Attorney RAY MOSER, JR.
 Agent
 Client\Division Sarnoff Corporation
 Current Owner
 Previous Owner

UNIVERSAL BITSTREAM SPLICING ADAPTERS

Inventor	Licensee	Other No's	Related Id	Title	Text
Filing Data		Related Party Info		Description	Expenses
Deadline Date	Completed Date	Action Notes	Responsible		
		Final office action dated 8/3/01 was never received by MPS	SRM		
		Not needed per E. Wall			
		SAR to do POA accepted by PTO			
		Sent to Sarnoff for filing			
*	04/10/2000	Modification of POA			

Created: 03/09/2000 Updated: 08/23/2002 9:29 AM By: SRM

Start Sue McCormack - Inb... PCMaster v3.03.0... 2:48 PM

Exhibit B

NEW JERSEY OFFICE - PATENT ACTIONS DUE OCTOBER 1 - NOVEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER
RRM			
09/28/2001	10/01/2001	AMENDMENT METHOD AND APPARATUS FOR REDUCING MEMORY REQUIREMENTS FOR ST NOTES: Any amendments due to agent - Amendments due by 10/28/01	SAR/12711 CN CHIN
EJW			
10/01/2001		NATIONAL FILING DATE DATA STRUCTURE AND METHODS FOR PROVIDING AN INTERACTIVE PROG NOTES: Natl. Phase Chap. II due 10/15/01	DIVA/070 PCT PCT
EJW			
10/01/2001		NATIONAL FILING DATE METHOD AND APPARATUS FOR COMPRESSING VIDEO SEQUENCES NOTES: Natl. Phase Chap. II due 10/15/01	DIVA/071 CIP1 PPCT P
EJW			
10/01/2001		NATIONAL FILING DATE IMPROVED DATA STRUCTURE AND METHODS FOR PROVIDING AN INTERAC NOTES: Natl. Phase Chap. II due 10/15/01	DIVA/071 PCT PCT
EJW			
10/01/2001		NATIONAL FILING DATE MULTIPLEXING STRUCTURES, LATENCY REDUCTION, AND STREAM INDEX NOTES: Natl. Phase Chap II due 10/15/01	DIVA/246 DIV2 PPCT P

NEW JERSEY OFFICE - PATENT ACTIONS DUE OCTOBER 1 - NOVEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER
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EJW

10/01/2001 REVIEW FOR FOREIGN FILING AGRE/123437 USA
A LOW COMPLEXITY JOINT EQUALIZER AND DECODER FOR 1000BASE-T
NOTES: For. filing due 10/30/01
Based on prov. app.

EJW

10/01/2001 REVIEW FOR USA FILING AGRE/123437 USA
A LOW COMPLEXITY JOINT EQUALIZER AND DECODER FOR 1000BASE-T
NOTES: 111(a) due 10/30/2001

EJW

10/01/2001 REVIEW FOR FOREIGN FILING DIVA/002 CP2DV1USA D
SYSTEM FOR INTERACTIVELY DISTRIBUTING INFORMATION SERVICES
NOTES: For filing due 10/26/2001

EJW

10/01/2001 STATUS OF FILING RECEIPT DIVA/301 USA
REMOTE MONITORING AND CONTROL METHOD AND APPARATUS FOR AN IN
NOTES: Re: corrected filing receipt has different filing date
than orig. filing receipt

EJW

10/01/2001 REVIEW FOR FOREIGN FILING LCNT/120756 USA
METHOD FOR CONVERTING TABULAR DATA TO TEST SCRIPT USING A TE
NOTES: For. filing due 10/31/2001

JP

10/01/2001 GRANT FEE DUE APPM/1950 SP SING
CONDUCTIVE FEEDTHROUGH FOR A CERAMIC BODY AND METHOD OF FABR
NOTES:

JP
SMH

10/01/2001 REVIEW FOR FOREIGN FILING APPM/4562 USA
ELECTROSTATIC CHUCK HAVING FULL AREA TEMPERATURE CONTROL
NOTES: For filing due 10/17/2001

NEW JERSEY OFFICE - PATENT ACTIONS DUE OCTOBER 1 - NOVEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER
JP SMH 10/01/2001		REVIEW FOR FOREIGN FILING MULTIFINGER SCR STRUCTURE FOR ESD PROTECTION AND IMPLEMENTAT NOTES: Due 10/10/01 - TM&P to do?	SAR/13908 P USA
JP SMH 10/01/2001		REVIEW FOR USA FILING MULTIFINGER SCR STRUCTURE FOR ESD PROTECTION AND IMPLEMENTAT NOTES: Due 10/10/01	SAR/13908 P USA
KWT			
10/01/2001		REVIEW FOR FOREIGN FILING METHOD AND APPARATUS FOR DELIVERING A PHARMACEUTICAL PRESCRI NOTES: For. filing due 10/23/2001	WELL/003 P USA
KWT			
10/01/2001		REVIEW FOR USA FILING METHOD AND APPARATUS FOR DELIVERING A PHARMACEUTICAL PRESCRI NOTES: 111(a) due 10/23/2001	WELL/003 P USA
KWT			
10/01/2001		FILE DEMAND FOR PRE EXAM PCT CHP II SRI/4116-3 PCT PCT NAVIGATING NETWORK-BASED ELECTRONIC INFORMATION USING SPOKEN NOTES: Due 10/13/01	
KWT			
10/01/2001		FILE DEMAND FOR PRE EXAM PCT CHP II SRI/4116-4 PCT PCT P SYSTEM METHOD AND ARTICLE OF MANUFACTURE FOR NAVIGATING NETW NOTES: Due 10/13/2001	
KWT			
10/01/2001		FILE DEMAND FOR PRE EXAM PCT CHP II SRI/4116-5 PCT PCT ACCESSING NETWORK-BASED ELECTRONIC INFORMATION THROUGH SCRIP NOTES: Due 10/14/2001	
KWT			
10/01/2001		FILE DEMAND FOR PRE EXAM PCT CHP II SRI/4116-6 PCT PCT MOBILE NAVIGATION OF NETWORK-BASED ELECTRONIC INFORMATION US NOTES: Due 10/13/2001	

NEW JERSEY OFFICE - PATENT ACTIONS DUE OCTOBER 1 - NOVEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER
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KWT

10/01/2001 FILE DEMAND FOR PRE EXAM CHP II SRI/4116-7 PCT PCT SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR AGENT-BASED N
NOTES: Due 10/13/2001

KWT

10/01/2001 REVIEW SAR/11765 B USA
METHOD AND APPARATUS FOR TRAINING A NEURAL NETWORK TO DETECT
NOTES: Check cancelled claims (Iss fee due 10/2)

KWT

10/01/2001 RESPONSE DUE SAR/13151 USA
APPARATUS AND METHOD FOR FORMING A CODING UNIT
NOTES: Response to Examiner's Answer due 10/14/2001

MS

10/01/2001 NATIONAL FILING DATE SAR/12836 A PCTPCT P
STANDARD COMPRESSION WITH DYNAMIC RANGE ENHANCEMENT OF IMAGE
NOTES: Natl. Phase (Chap. II) due 10/15/2001
File in EP (France, Germany, Italy, Netherlands, UK), JP

PAV

10/01/2001 RESPONSE TO FOREIGN ASSOC APPM/761 P1 EP EPC
BIASED PLASMA ANNEALING OF THIN FILMS
NOTES: Re: Proceed with grant?

PAV

10/01/2001 GRANT FEE DUE APPM/1988-P1 SPSING
IMPROVED DEPOSITION OF TUNGSTEN NITRIDE USING PLASMA PRETREA
NOTES: Due 12/30/2001 - Contact APPM re: pay fee?
File any amendments before 12/30/01

RGR

10/01/2001 REVIEW FOR FOREIGN FILING VSI/15245 USA
CONNECTING APPARATUS FOR PLACING FLUID FLOW PATHS IN FLUID C
NOTES: Due 10/5/2001

RGR

10/01/2001 REVIEW FOR USA FILING VSI/15245 USA
CONNECTING APPARATUS FOR PLACING FLUID FLOW PATHS IN FLUID C
NOTES: 111(a) app. due 10/5/01

NEW JERSEY OFFICE - PATENT ACTIONS DUE OCTOBER 1 - NOVEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER	
RPH				
10/01/2001		DRAWINGS DUE FOR PUBLICATION WATER-BASED INTAGLIO PRINTING INK NOTES: To be published @ 1/24/02	SUN/006 CIP	USA P
RRM				
10/01/2001		NATIONAL FILING DATE METHOD AND APPARATUS FOR LOCATING MOBILE RECEIVERS NOTES: National phase due 10/23/2001	GLBL/002 PCT	PCT
RRM				
10/01/2001		REVIEW SYMBOL SIGN DIRECTED PHASE DETECTOR NOTES: Review IPER	SAR/13018 PCT	PCT
RRM				
10/01/2001		DIVISIONAL APPLICATION METHOD AND APPARATUS FOR LOCATING MOBILE RECEIVERS USNG A WI NOTES:	GLBL/005 D1	USA D
RRM				
10/01/2001		DIVISIONAL APPLICATION METHOD AND APPARATUS FOR LOCATING MOBILE RECEIVERS USNG A WI NOTES:	GLBL/005 D2	USA D
RRM				
10/01/2001		REVIEW FOR FOREIGN FILING MICROFLUIDIC TUNABLE OPTICAL DEVICE USING ELECTRONIC AND MEC NOTES: For filing due 12/1/2001 TMP to file?	SAR/14190 P	USA
RRM				
10/01/2001		REVIEW FOR USA FILING MICROFLUIDIC TUNABLE OPTICAL DEVICE USING ELECTRONIC AND MEC NOTES: 111(a) due 12/1/2001	SAR/14190 P	USA
RRM				
10/01/2001		REVIEW FOR FOREIGN FILING MICROFLUIDIC OPTICAL SWITCH ARRAYS NOTES: For filing due 12/1/2001 TMP to file?	SAR/14203 P	USA

NEW JERSEY OFFICE - PATENT ACTIONS DUE OCTOBER 1 - NOVEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER	
RRM AIK 10/01/2001		REVIEW FOR USA FILING MICROFLUIDIC OPTICAL SWITCH ARRAYS NOTES: 111(a) app. due 12/1/2001	SAR/14203 P	USA
RRM RPH 10/01/2001		HEARING IMPROVED TITANIUM NITRIDE LAYERS DEPOSITED BY CHEMICAL VAPOR NOTES: Request for Hearing - Due 10/15/2001	APPM/849 P1 C1	USA
JD				
10/02/2001		FOREIGN FILING DEADLINE DEFECT KNOWLEDGE LIBRARY NOTES: Due date based on prov. Corrected docket # will be APPM/4744-02	APPM/4747	USA
JD				
10/02/2001		FOREIGN FILING DEADLINE DEFECT SOURCE IDENTIFIER NOTES: Due date based on prov. app. Corrected docket # will be 4744-03	APPM/4748	USA
JP				
10/02/2001		RESPONSE TO FINAL OFFICE ACTION APPARATUS FOR IMPROVED COUPLING THROUGH A WORKPIECE IN A SEM NOTES: 3 month resp. date	APPM/1946 X2	USA X
KWT				
10/02/2001		DRAWINGS REQUIRED OR DUE METHOD AND APPARATUS FOR TRAINING A NEURAL NETWORK TO DETECT NOTES: SAR to do (if needed) - Client received NOA	SAR/11765 B	USA
KWT				
10/02/2001		ISSUE FEE DUE METHOD AND APPARATUS FOR TRAINING A NEURAL NETWORK TO DETECT NOTES: SAR to do - Client recv'd NOA	SAR/11765 B	USA
RRM				
10/02/2001		ISSUE FEE DUE APPARATUS AND METHOD FOR MEASUREMENT OF A LIQUID DROPLET NOTES: SAR to handle	SAR/13049	USA

NEW JERSEY OFFICE - PATENT ACTIONS DUE OCTOBER 1 - NOVEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER	
<hr/>				
RRM				
10/02/2001		DRAWINGS REQUIRED OR DUE APPARATUS AND METHOD FOR MEASUREMENT OF A LIQUID DROPLET NOTES: SAR to handle	SAR/13049	USA
<hr/>				
RRM SMH				
10/02/2001		RESPONSE TO ADVISORY ACTION METHOD AND APPARATUS FOR CONTROLLING CHUCKING FORCE IN AN EL NOTES: 3 month resp. date - amend filed 9/4/01	APPM/2584	USA
<hr/>				
JP				
10/03/2001		REVIEW IMPROVED SUBSTRATE SUPPORT APPARATUS AND METHOD FOR FABRICAT NOTES: Send response to agent	APPM/3302 EP EPC	
<hr/>				
KWT				
10/03/2001		NOTICE OF APPEAL DUE STROBE ALARM WITH STROBE INTENSITY SELECTOR SWITCH NOTES: 3 month resp. date 1.116 amend filed 8/29/01	WHLK/022	USA
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RRM				
10/03/2001		REVIEW FOR FOREIGN FILING DEPOSITION OF COPPER WITH INCREASED ADHESION NOTES: For. file this Divisional? Due 11/3/01	APPM/2179 D1	USA D
<hr/>				
RRM				
10/03/2001		REVIEW FOR FOREIGN FILING SI-BASED HOLOGRAPHIC RECONFIGURABLE ANTENNA NOTES: Due 11/3/2001 - TM&P to file?	SAR/13861 PA	USA C
<hr/>				
RRM				
10/03/2001		REVIEW FOR USA FILING SI-BASED HOLOGRAPHIC RECONFIGURABLE ANTENNA NOTES: 111(a) due 11/3/2001	SAR/13861 PA	USA C
<hr/>				
EJW				
10/03/2001	01/03/2002	RESPONSE TO FOREIGN ASSOC COMMUNICATION TECHNIQUE EMPLOYING VARIABLE INFORMATION TRANS NOTES:	LCNT/DALY 11 JPJAPA	

NEW JERSEY OFFICE - PATENT ACTIONS DUE OCTOBER 1 - NOVEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER
EJW			
10/04/2001		DEMAND CHAP. II (due in 30 days) SERVER-CENTRIC SEARCH FUNCTION IN AN INTERACTIVE PROGRAM GUI NOTES:	DIVA/265 PCT PCT
EJW			
10/04/2001		PUBLISHED SERVER-CENTRIC SEARCH FUNCTION IN AN INTERACTIVE PROGRAM GUI NOTES:	DIVA/265 PCT PCT
JP			
10/04/2001		GRANT FEE DUE SUBSTRATE SUPPORT SHIELD IN WAFER PROCESSING REACTORS NOTES: Grant and printing fees due Reminder sent to AMAT 7/17/2001	APPM/736 EP EPC
JP			
10/04/2001		RESPONSE TO OFFICE ACTION (W/2M EXT SAR/13538 USA METHOD FOR GENERATING AND PROCESSING TRANSITION STREAMS NOTES:	
JP			
10/05/2001		RESPONSE TO OFFICE ACTION (W/3M EXT APPM/1915 C1 USA C METHOD AND APPARATUS FOR SPUTTER ETCH CONDITIONING A CERAMIC NOTES:	
JP			
10/05/2001		PUBLISHED METHOD FOR PRODUCING AN INTERCONNECT STRUCTURE FOR AN INTEGRA NOTES:	APPM/2351 D1 USA D
JP			
10/05/2001		PUBLISHED METHOD FOR CLEANING A PROCESS CHAMBER NOTES:	APPM/2677 D1 USA D
KWT			
10/05/2001		REVIEW METHOD, APPARATUS AND SYSTEM FOR PROVIDING A DRUG UTILIZATION NOTES: Review IPER	WELL/002 PCT PCT

Exhibit C

NEW JERSEY OFFICE - PATENT ACTIONS DUE NOVEMBER 1 - DECEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER
AN			
11/01/2001		STATUTORY BAR DATE SOA-BASED TRANSMISSION OF FOUR 10 Gb/s WDM SIGNALS OVER 500 NOTES: DUE DATE based on publication To be filed at a later date	LCNT/122871 USA
EJW			
11/01/2001		INTERNATIONAL PRELIMINARY EXAMINATI METHOD AND APPARATUS FOR PERFORMING IMPULSE AUTHORIZATIONS W NOTES: Forward copy of IPER to Canadian agent when recv'd	DIVA/036 CAN CANA
EJW			
11/01/2001		PUBLISHED CHANNEL INFORMATION WINDOW VIA SERVER-CENTRIC INTERACTIVE US NOTES:	DIVA/070 CIP3 PPCT P
EJW			
11/01/2001		DEMAND CHAP. II (due in 30 days) CHANNEL INFORMATION WINDOW VIA SERVER-CENTRIC INTERACTIVE US NOTES:	DIVA/070 CIP3 PPCT P
EJW			
11/01/2001		REVIEW FOR FOREIGN FILING APPARATUS AND METHOD PROVIDING EFFICIENT DELIVERY OF GOODS NOTES: For. filing based on 111(a) app. due 11/9/01	CRDN/002 USA
EJW			
11/01/2001		STATUS OF PATENT APPLICATION FILED METHOD FOR GENERATING INFORMATION SUB-STREAMS FOR FF/REW APP NOTES: Expect Off. Action - app. filed 4/27/98	DIVA/014 USA
EJW			
11/01/2001		FOLLOW-UP W/CLIENT A METHOD AND APPARATUS FOR PRODUCING AN INFORMATION STREAM H NOTES: Re: Continuation app. to be filed?	DIVA/015 USA
EJW			
11/01/2001		STATUS OF OFFICE ACTION MASTER AND SLAVE SUBSCRIBER STATIONS FOR DIGITAL VIDEO AND I NOTES: Re: First Office action due 10/1/2001	DIVA/111 USA
EJW			
11/01/2001		STATUS OF PATENT APPLICATION FILED CONDITIONAL ACCESS AND SECURITY FOR VIDEO ON-DEMAND SYSTEMS NOTES:	DIVA/123 USA

NEW JERSEY OFFICE - PENDING ACTIONS DUE NOVEMBER 1 - DECEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER
EJW			
11/01/2001		REVIEW FOR FOREIGN FILING METHOD AND APPARATUS FOR DELIVERING AND DISPLAYING INFORMATION NOTES: Due 11/27/01 based on prov. app. (Same prov as DIVA/301, 308, 309, 310)	DIVA/300 USA
EJW			
11/01/2001		REVIEW FOR FOREIGN FILING REMOTE MONITORING AND CONTROL METHOD AND APPARATUS FOR AN INFORMATION NOTES: Due 11/27/2001 based on Prov. app. (Same prov. as DIVA/300, 308, 309, 310)	DIVA/301 USA
EJW			
11/01/2001		STATUS OF FILING RECEIPT REMOTE MONITORING AND CONTROL METHOD AND APPARATUS FOR AN INFORMATION NOTES: Re: corrected filing receipt has different filing date than orig. filing receipt	DIVA/301 USA
EJW			
11/01/2001		REVIEW FOR FOREIGN FILING METHOD AND APPARATUS FOR COLLECTING AND REPORTING CONSUMER INFORMATION NOTES: Due 11/27/01 based on prov. (Same prov. as DIVA/300, 301, 309 & 310)	DIVA/308 USA
EJW			
11/01/2001		REVIEW FOR FOREIGN FILING METHOD AND APPARATUS FOR MONITORING AN INFORMATION DISTRIBUTION SYSTEM NOTES: Due 11/27/01 based on prov. date (Same prov. as DIVA/300, 301, 308 & 310)	DIVA/309 USA
EJW			
11/01/2001		REVIEW FOR FOREIGN FILING METHOD AND APPARATUS FOR PROVIDING INTERACTIVE PROGRAM GUIDE NOTES: Due 11/27/2001 based on prov. app. (Same prov. as DIVA/300, 301, 308 & 309)	DIVA/310 USA
EJW			
11/01/2001		REVIEW FOR USA FILING SYSTEM AND METHOD FOR FORMING A LARGE CONTENT SERVING ENTITY NOTES: 111(a) app. due 11/27/2001 - file as DIVA/303	DIVA/994 PRV USA
EJW			
11/01/2001		REVIEW FOR FOREIGN FILING SYSTEM AND METHOD FOR FORMING A LARGE CONTENT SERVING ENTITY NOTES: For filing due 11/27/2001 - file as DIVA/303 PCT	DIVA/994 PRV USA
EJW			
11/01/2001		REVIEW FOR USA FILING SYSTEM AND METHOD FOR TIME SHIFTING THE DELIVERY OF VIDEO INFORMATION NOTES: 111(a) due 11/27/2001 - File as DIVA/304	DIVA/995 PRV USA

NEW JERSEY OFFICE - PENDING ACTIONS DUE NOVEMBER 1 - DECEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER
EJW			
11/01/2001		REVIEW FOR FOREIGN FILING SYSTEM AND METHOD FOR TIME SHIFTING THE DELIVERY OF VIDEO IN NOTES: For. filing due 11/27/2001 - file as DIVA/304 PCT	DIVA/995 PRV USA
EJW			
11/01/2001		REVIEW FOR FOREIGN FILING SYSTEM AND METHOD FOR MANAGING VOD SERVICE NOTES: For filing due 11/28/01 - file as DIVA/306 PCT	DIVA/997 PRV USA
EJW			
11/01/2001		REVIEW FOR USA FILING SYSTEM AND METHOD FOR MANAGING VOD SERVICE NOTES: 111(a) app. due 11/28/01 - file as DIVA/306	DIVA/997 PRV USA
EJW			
11/01/2001		REVIEW FOR FOREIGN FILING ON-LINE PAYMENT SETTLEMENT FOR MULTI-PARTY BASED SERVICES NOTES: For. filing due 12/1/2001	LOTS/P00006 USA
EJW SMH			
11/01/2001		REVIEW FOR USA FILING VIDEO SERVER RAID5 DISK FAILURE MODES NOTES: 111(a) app. due 11/28/2001 - file as DIVA/305	DIVA/996 PRV USA
EJW SMH			
11/01/2001		REVIEW FOR FOREIGN FILING VIDEO SERVER RAID5 DISK FAILURE MODES NOTES: For. filing due 11/28/2001 - File as DIVA/305	DIVA/996 PRV USA
JP SMH			
11/01/2001		RESPONSE TO RESTRICTION ACTION HIGH TEMPERATURE ELECTRICAL CONNECTOR NOTES:	APPM/4117 USA
KWT			
11/01/2001		FILE BY DUE DATE FDL (FULL DYNAMIC LINKING) NOTES: Waiting for instr. from client re: filing	WHLK/029 USA
KWT			
11/01/2001		REVIEW FOR FOREIGN FILING FLOCCULATION APPARATUS AND APPARATUS FOR FLOATING UPWARDLY I NOTES: Due 11/20/01	DEV/119 USA
KWT			
11/01/2001		PUBLISHED METHOD AND APPARATUS FOR CREATING AND MAINTAINING A VIRTUAL NOTES: Revised publication date	MLTN/002 USA

NEW JERSEY OFFICE - PENDING ACTIONS DUE NOVEMBER 1 - DECEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER	
KWT				
11/01/2001		REVIEW FOREIGN ART FOR SIDS PEER-TO PEER NETWORK USER AUTHENTICATION PROTOCOL NOTES: Re: PCT Search Report File change of corresponding address	SAR/13431	USA
MS				
11/01/2001		REVIEW FOR FOREIGN FILING MICROELECTRONIC MECHANICAL SWITCH ARRAYS AND METHOD OF FABRI NOTES: Due 11/7/2001 - MPS to file	SAR/14092	USA
PAV				
11/01/2001		APPEAL BRIEF PLASMA ETCHING OF SILICON USING FLUORINATED GAS MIXTURES NOTES: Due 11/6/01	APPM/3258 P1	USA P
RRM				
11/01/2001		DIVISIONAL APPLICATION METHOD AND APPARATUS FOR LOCATING MOBILE RECEIVERS USNG A WI NOTES:	GLBL/005 D1	USA D
RRM				
11/01/2001		DIVISIONAL APPLICATION METHOD AND APPARATUS FOR LOCATING MOBILE RECEIVERS USNG A WI NOTES:	GLBL/005 D2	USA D
RRM				
11/01/2001		REVIEW FOR USA FILING MICROELECTRONIC MECHANICAL SWITCH ARRAYS AND METHOD OF FABRI NOTES: Due 11/7/2001 - MPS to file?	SAR/14092	USA
RRM				
11/01/2001		REVIEW FOR FOREIGN FILING TIME-FREE GPS SOLUTION NOTES: For filing due 11/17/2001	GLBL/013	USA
RRM				
11/01/2001		REVIEW FOR FOREIGN FILING POSITIONING SYSTEMS UTILIZING A TERRAIN MODEL NOTES: Due 11/17/20001	GLBL/014 P	USA
RRM				
11/01/2001		FOLLOW UP W/EXAMINER FORMAT AND FRAME RATE CONVERSION FOR DISPLAY OF 24 Hz SOURCE NOTES: Re: re-filed assignment	SAR/12213 A	USA P

NEW JERSEY OFFICE - PENDING ACTIONS DUE NOVEMBER 1 - DECEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER	
RRM				
11/01/2001		PUBLISHED ADAPTIVE PUNCTURE CODING NOTES: Revised pub. date	SAR/14211	USA
RRM				
11/01/2001		PUBLISHED MULTIPLE TRANSMITTER ANTENNAS AND SPACE-TIME CODING FOR MULT NOTES: Revised publication date	SAR/14212	USA
RRM				
11/01/2001		MAINTENANCE TAX REMINDER DRUM CONTAINMENT DOLLY NOTES: Maint. fee 1 due 11/19/01 Reminder sent to client 7/13/01 & 9/14/01	GRNG/001	USA
RRM				
RPH				
11/01/2001		OPPOSITION PERIOD EXPIRES IMPROVED TITANIUM NITRIDE LAYERS DEPOSITED BY CHEMICAL VAPOR NOTES: Opp. to Motions to Suppress & resp. re: cross-exam - due 11/15/01	APPM/849 P1 C1 USA	
RRM				
SMH				
11/01/2001		RESPONSE TO FOREIGN ASSOC METHOD AND APPARATUS FOR GENERATING IMAGE TEXTURES NOTES: Re: claims	SAR/11871 EPO EPC	
RRM				
SMH				
11/01/2001		RESPONSE TO FOREIGN ASSOC ELECTROSTATIC PROTECTION CIRCUIT NOTES: Resp. to EPO due 11/20/2001	SAR/11425 A EP EPC	
RRM				
SMH				
11/01/2001		RESPONSE TO OFFICE ACTION LINEARLY ADJUSTABLE ROBOT APPARATUS AND ASSOCIATED METHOD NOTES:	APPM/4280	USA
SMH				
11/01/2001		REVIEW METHOD FOR FABRICATING A SWITCHING TRANSISTOR HAVING A CAPAC NOTES: Review agent's response to EPO	SAR/11426 EPO EPC	
SMH				
11/01/2001		PUBLISHED METHOD AND APPARATUS FOR DISTRIBUTING DOCUMENTS ON AN EVENT- NOTES:	2ECP/002 PCT PCT	

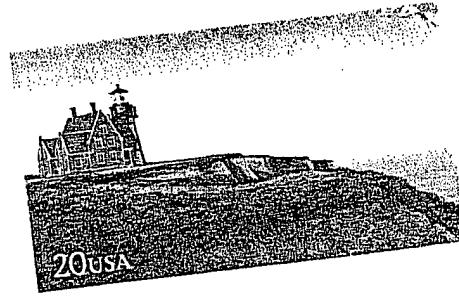
NEW JERSEY OFFICE - PENDING ACTIONS DUE NOVEMBER 1 - NOVEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER
SMH			
11/01/2001		DEMAND CHAP. II (due in 30 days) METHOD AND APPARATUS FOR DISTRIBUTING DOCUMENTS ON AN EVENT- NOTES:	2ECP/002 PCT PCT
SMH			
11/01/2001		PUBLISHED METHOD AND APPARATUS FOR DISTRIBUTING DOCUMENTS ON AN EVENT- NOTES:	2ECP/002 USA
JP			
11/02/2001		RESPONSE TO FINAL OA (W/1M EXT) APPARATUS FOR IMPROVED COUPLING THROUGH A WORKPIECE IN A SEM NOTES: 4th month	APPM/1946 X2 USA X
MS			
11/02/2001		IPEA form 402 METHOD AND APPARATUS FOR MEASURING TRUE TRANSMITTED POWER US NOTES: CH II DEMAND FILED 09/24/01	SAR/13927-PC PCT
PAV			
11/02/2001		SEARCH DUE OR REQUIRED A METHOD TO MODULATE NICKEL VANADIUM (NIV) THIN FILM STRESS NOTES:	APPM/6154 USA
RRM			
11/02/2001		DRAWINGS DUE FOR PUBLICATION DEFECT KNOWLEDGE LIBRARY NOTES:	APPM/4744-02 USA
KWT			
11/03/2001		NOTICE OF APPEAL DUE STROBE ALARM WITH STROBE INTENSITY SELECTOR SWITCH NOTES: 4th month 1.116 amend filed 8/29/01	WHLK/022 USA
PAV			
11/03/2001		REVIEW FOR FOREIGN FILING METHOD OF FORMING REFRACTORY METAL NITRIDE LAYERS USING CHEM NOTES: For filing due 1/3/2002	APPM/4967 USA
EJW			
11/04/2001		PETITION TO REVIVE INTEGRATED USER INTERFACE FOR INTERACTIVE PROGRAM GUIDE AND NOTES:	DIVA/247 CIP5 USA P

NEW JERSEY OFFICE - PENDING ACTIONS DUE NOVEMBER 1 - DECEMBER 6, 2001

DUE DATE	DEADLINE	ACTION	DOCKET NUMBER	
KWT				
11/04/2001		SUPPLEMENTAL IDS NETWORK USER AUTHENTICATION PROTOCOL NOTES: Based on PCT Written Opinion	SAR/13276	USA
KWT				
11/04/2001		REQUEST FOR RECONSIDERATION HIGH-PERFORMANCE ACTIVE MATRIX PIXEL USING ORGANIC THIN-FILM NOTES: Re: Petition for filing date to be 2/16/01	SAR/14285 P	USA
RRM				
11/04/2001		NATL FILING EXT (CH II) COMBINED SINGLE-FREQUENCY LASER AND LINEAR AMPLIFIER NOTES: SAR to handle - filed by Ratner & Prestia We recv'd Wr. Opinion for US IDS	SAR/12971 PCT	PCT
RRM				
11/04/2001		RESPONSE TO NOTICE OF MISSING PARTS METHOD AND APPARATUS FOR GENERATING AND DISTRIBUTING SATELLI NOTES:	GLBL/018	USA
SMH				
11/04/2001		REVIEW FOR FOREIGN FILING COOLING GAS CONNECTION TO A ROTATING ELECTROSTATIC CHUCK NOTES: For filing due 12/4/2001	APPM/5440 L	USA
MS				
11/04/2001	11/04/2001	REPLY TO WRITTEN OPINION NETWORK USER AUTHENTICATION PROTOCOL NOTES:	SAR/13276 PCT	PCT
EJW				
11/05/2001		REVIEW SERVICE PROVIDER SIDE INTERACTIVE PROGRAM GUIDE ENCODER NOTES: Rev. IPER	DIVA/168 CIP2 PPCT	
EJW				
11/05/2001		REVIEW EFFICIENT ENCODING ALGORITHMS FOR DELIVERY OF SERVER-CENTRIC NOTES: Rev. IPER	DIVA/240 PCT	PCT
PAV				
11/05/2001		REVIEW FOR FOREIGN FILING DEPOSITION OF TUNGSTEN NITRIDE BY PLASMA ENHANCED CHEMICAL V NOTES: For. filing due 1/5/2002	APPM/1988 D3	USA D

Exhibit D



Thomason, Moser & Patterson
595 Shrewsbury Avenue
Suite 100
Shrewsbury, NJ 07702

•USPS 35¢ recycled



Docket No.: Sarnoff/TMP
Customer Number: 28166

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereupon:

Correspondence Address Spreadsheet (4 pages) / RECEIVED
FBC CSC

Date of Mailing – June 11, 2001

2001 JUN 19 A 11:18

USPTO

THOMASON, MOSER & PATTERSON, LLP

Attorneys at Law
595 SHREWSBURY AVENUE
SUITE 100
SHREWSBURY, NEW JERSEY 07702

Telephone (732) 530-9404
Facsimile (732) 530-9808
www.tmplaw.com

June 11, 2001

Keith Peterson
United States Patent and Trademark Office
2231 Crystal Drive
Crystal Park 3, Suite 903
Arlington, Virginia 22202

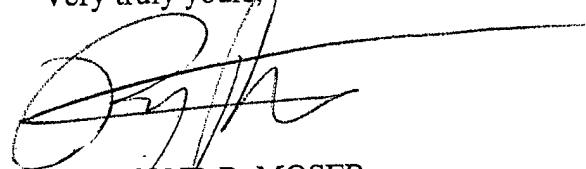
Re: Change of Address for Customer No. 28166

Dear Mr. Peterson:

Enclosed is a hard copy and a disk containing the Excel Change of Address spreadsheet required from the United States Patent and Trademark Office.

If you have any questions or comments, please feel free to contact me at your earliest convenience.

Very truly yours,



RAYMOND R. MOSER
Reg. No. 34,682

RRM:mc
Enclosure

	A	B	C	D	E	F	G
1	Customer No.:	28166					
2							
3	Thomason, Moser & Patterson, LLP						
4	595 Shrewsbury Avenue						
5	Suite 100						
6	Shrewsbury, New Jersey 07702						
7							
8							
9							
10							
11							
12	Patent	Application	Patent	Filing	Correspondence	Practitioner of	Fee
13	Number	Number	Date	Date	Address	Record	Address
14			mm/dd/yy	mm/dd/yy	yes/no	yes/no	yes/no
15							
16		09115937		7/15/1998	Y	Y	Y
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Exhibit E

July 29, 2001

Thomason, Moser & Patterson, LLP
Attn: Raymond R. Moser
595 Shrewsbury Avenue
Suite 100
Shrewsbury, NJ 07702

*Gave to
Sue & Mike
K.*

I have received your spreadsheet to associate your applications/patents with your customer number, and there are just a few more questions. There are a few files that are currently going to a different address for correspondence and we just have to do a little preventive maintenance to be absolutely sure that you do, in fact, want to update these files to your customer number.

Please take a look at the attached spreadsheet, which lists the "questionable" applications. Once you have verified whether or not you wish to associate these applications/patents with your customer number, please mark them as such on the attached spreadsheet (i.e., yes/no) and fax (703-308-2840) it back to me, or simply fax the list of applications/patents that you DO NOT wish to be associated with your customer number. Please DO NOT delete any applications from the spreadsheet.

If there are NO changes to be made, you can just return a fax stating that it is alright to associate all files with your customer number. Please keep in mind that the applications will be associated with the Correspondence Address as indicated in the "Correspondence Address" column of the Excel Spreadsheet.

If you have any questions, please feel free to contact me.

Keith E. Peterson

USPTO
Patent Electronic Business Center
Crystal Park Three, Suite 903
(703) 308-6910 (Voice)
(703) 308-2840 (Fax)
keith.peterson@uspto.gov

Exhibit F

*** TX REPORT ***

TRANSMISSION OK

TX/RX NO	0744
CONNECTION TEL	17033082840
SUBADDRESS	
CONNECTION ID	USPTO PATENTS EB
ST. TIME	07/30 14:49
USAGE T	04'02
PGS. SENT	5
RESULT	OK

TELEFAX COVER SHEET

THOMASON, MOSER & PATTERSON
ATTORNEYS AT LAW
595 SHREWSBURY AVENUE
SUITE 100
SHREWSBURY, NJ 07702
TELEPHONE (732) 530-9404
TELEFAX (732) 530-9808

THIS TELEFAX MESSAGE IS ADDRESSED TO THE PERSON OR COMPANY
LISTED BELOW. IF IT WAS SENT OR RECEIVED INCORRECTLY, OR YOU
ARE NOT THE INTENDED RECIPIENT, PLEASE TAKE NOTICE THAT THIS
MESSAGE MAY CONTAIN PRIVILEGED OR CONFIDENTIAL MATERIAL, AND
YOUR DUE REGARD FOR THIS INFORMATION IS NECESSARY. YOU MAY
ARRANGE TO RETURN THIS MATERIAL BY CALLING THE FIRM LISTED
ABOVE AT (732) 530-9404

THIS MESSAGE HAS 5 PAGES INCLUDING THIS SHEET

TO: Keith E. Peterson

FROM: Raymond R. Moser, Jr.

DATE: July 30, 2001

MATTER: Corrections for Customer # 28166

Dear Mr. Peterson,